

Information Technology Authorization Committee

Project Review

June 24, 2014

Action Item

Agency: Arizona Department of Corrections (ADC)
Project Name: Adult Inmate Management System (AIMS) Replacement Project
Project Number: DC13007_A
ASET Oversight Manager: James Dean

On May 30, 2013, a PIJ for the Offender Management System (OMS) - AIMS Replacement project was **Approved with Conditions** by ITAC to allow ADC to issue a Request for Proposal (RFP) to replace the legacy AIMS system. Used to support a number of critical offender management functions, AIMS is a COBOL based legacy mainframe application with multiple subsystems, originally implemented in 1985. Due to its age, complexity and outdated technology, AIMS is unable to reasonably meet the growing demands placed upon it, with the risk of catastrophic system failure continuing to increase. ADC has since completed its evaluation of RFP responses from four (4) vendors, and selected a web-based vendor-hosted Offender Case Management System (OCMS) to replace AIMS. Development costs in the PIJ include a completely integrated solution customized for Arizona needs, staff augmentation to backfill for existing ADC personnel, ancillary equipment to provide access to the OCMS from prison facilities and ADC partners, the required services of a third party independent reviewer, and a contingency amount. Operational costs are not reflected in the PIJ, as those are not expected to exceed costs that ADC is currently incurring for ongoing maintenance / support of AIMS. Given the Condition requiring approval prior to RFP award, ADC will present information provided in the updated PIJ, in order to obtain ITAC's approval to move forward. Procurement statutes may require an Executive Session should ITAC wish to discuss vendor specifics or the evaluation process in more detail.

Motion Options:

- ITAC Approval:**
- ITAC Conditional Approval:**

The Arizona Strategic Enterprise Technology Office recommends ITAC approval of the technology project with the following Conditions:

1. ADC may proceed to award the solicitation, with project development and total costs not to exceed PIJ approved amounts without further approval by ADOA-ASET and ITAC. Upon award, ADC must provide a formal communication to ADOA-ASET and ITAC regarding the identity of the selected vendor and a detailed summary of the vendor proposed costs.
 2. As a result of the final design and procurement efforts, ADC must provide additional details regarding the supporting components required to implement the proposed solution to ADOA-ASET, and to the Information Technology Authorization Committee (ITAC) if necessary, for review and approval prior to further expenditure of funds.
 3. ADC shall work with ADOA-ASET to ensure that the selected solution is in compliance with the Arizona Baseline Security Controls needed to provide an appropriate level of protection for State data.
 4. Given the required independent third-party reviews of project progress, ADE will make the results of the reviews available to ITAC as of the end of each calendar quarter, if requested.
- ITAC Disapproval:**