

Project Investment Justification

CHILDS Replacement

CH17002

Department of Child Safety

Contents

1. General Information	2
2. Meeting Pre-Work	2
3. Pre-PIJ/Assessment	3
4. Project	3
5. Schedule	4
6. Impact	4
7. Budget	4
8. Technology	5
9. Security	7
10. Areas of Impact	7
11. Financials	9
12. Project Success	9
13. Conditions	9
14. Engagement Manager Comments	10
15. PIJ Review Checklist	10

1. GENERAL INFORMATION

PIJ ID: CH17002

PIJ Name: CHILDS Replacement

Account: Department of Child Safety

Business Unit Requesting:

Sponsor: Linda Jewell

Sponsor Title: Chief Information Officer

Sponsor Email: ljewell@azdes.gov

Sponsor Phone: 602-255-3150

2. MEETING PRE-WORK

2.1 What is the operational issue or business need that the Agency is trying to solve? (i.e....current process is manual, which increases resource time/costs to the State/Agency, and leads to errors...):

The current Department of Child Safety (DCS) child welfare system Children's Information Library and Data Source (CHILDS) is used for case management, federal reporting, provider management and Title IV-E eligibility for children under DCS care.

2.2 How will solving this issue or addressing this need benefit the State or the Agency?

The new system, known as Guardian, will be capable of increasing efficiency and service delivery to Arizona's families and children in need, while also supporting child safety specialist needs to effectively and efficiently execute the mission of DCS. The new system will also ensure data integrity for improved reporting, consistent usability across work functions, and mobile platform for supporting staff visits, improved decision support through formal assessments, and potentially access for providers to input data for case management and placement information.

2.3 Describe the proposed solution to this business need.

The solution will be based on the security of a stable, proven technology platform. The platform will ensure management of data models, data standards, and other technology standards between components in the system and between all systems that interoperate with Guardian. Centralizing this management will ensure economic extensibility of the Guardian system. Once the platform has been procured, early design work will identify which child welfare functional components can be build natively from the platform and which will be procured to integrate with the platform. The platform will enable these functional components to be deployed to meet priority business needs and the sequence needed to decommission the existing CHILDS system while maintaining the consistency of data models and standards. DCS will procure a technical integration contractor with large system implementation experience, available staffing, and knowledge of child welfare to plan, configure, and deliver the platform and its functional components, and manage the integration of the other functional components at an acceptable level of risk.

2.4 Has the existing technology environment, into which the proposed solution will be implemented, been documented?

Yes

2.4a Please describe the existing technology environment into which the proposed solution will be implemented.

2.5 Have the business requirements been gathered, along with any technology requirements that have been identified?

2.5a Please explain below why the requirements are not available.

3. PRE-PIJ/ASSESSMENT

3.1 Are you submitting this as a Pre-PIJ in order to issue a Request for Proposal (RFP) to evaluate options and select a solution that meets the project requirements?

3.1a Is the final Statement of Work (SOW) for the RFP available for review?

3.2 Will you be completing an assessment/Pilot/RFP phase, i.e. an evaluation by a vendor, 3rd party or your agency, of the current state, needs, & desired future state, in order to determine the cost, effort, approach and/or feasibility of a project?

3.2a Describe the reason for completing the assessment/pilot/RFP and the expected deliverables.

3.2b Provide the estimated cost, if any, to conduct the assessment phase and/or Pilot and/or RFP/solicitation process.

3.2e Based on research to date, provide a high-level cost estimate to implement the final solution.

4. PROJECT

4.1 Does your agency have a formal project methodology in place?

4.2 Describe the high level makeup and roles/responsibilities of the Agency, Vendor(s) and other third parties (i.e. agency will do...vendor will do...third party will do).

4.3 Will a PM be assigned to manage the project, regardless of whether internal or vendor provided?

Yes

4.3a If the PM is credentialed, e.g., PMP, CPM, State certification etc., please provide certification information.

4.4 Is the proposed procurement the result of an RFP solicitation process?

Yes

4.5 Is this project referenced in your agency's Strategic IT Plan?

5. SCHEDULE

5.1 Is a project plan available that reflects the estimated Start Date and End Date of the project, and the supporting Milestones of the project?

5.2 Provide an estimated start and finish date for implementing the proposed solution.

Est. Implementation Start Date

Est. Implementation End Date

9/20/2016 12:00:00 AM

6/30/2022 12:00:00 AM

5.3 How were the start and end dates determined?

5.3a List the expected high level project tasks/milestones of the project, e.g., acquire new web server, develop software interfaces, deploy new application, production go live, and estimate start/finish dates for each, if known.

Milestone / Task	Estimated Start Date	Estimated Finish Date
------------------	----------------------	-----------------------

5.4 Have steps needed to roll-out to all impacted parties been incorporated, e.g. communications, planned outages, deployment plan?

5.5 Will any physical infrastructure improvements be required prior to the implementation of the proposed solution. e.g., building reconstruction, cabling, etc.?

5.5a Does the PIJ include the facilities costs associated with construction?

5.5b Does the project plan reflect the timeline associated with completing the construction?

6. IMPACT

6.1 Are there any known resource availability conflicts that could impact the project?

6.1a Have the identified conflicts been taken into account in the project plan?

6.2 Does your schedule have dependencies on any other projects or procurements?

6.2a Please identify the projects or procurements.

6.3 Will the implementation involve major end user view or functionality changes?

6.4 Will the proposed solution result in a change to a public-facing application or system?

7. BUDGET

7.1 Is a detailed project budget reflecting all of the up-front/startup costs to implement the project available, e.g. hardware, initial software licenses, training, taxes, P&OS, etc.?

7.2 Have the ongoing support costs for sustaining the proposed solution over a 5-year lifecycle, once the project is complete, been determined, e.g., ongoing vendor hosting costs, annual maintenance and support not acquired upfront, etc.?

7.3 Have all required funding sources for the project and ongoing support costs been identified?

7.4 Will the funding for this project expire on a specific date, regardless of project timelines?

7.5 Will the funding allocated for this project include any contingency, in the event of cost over-runs or potential changes in scope?

8. TECHNOLOGY

8.1 Please indicate whether a statewide enterprise solution will be used or select the primary reason for not choosing an enterprise solution.

8.2 Will the technology and all required services be acquired off existing State contract(s)?

8.3 Will any software be acquired through the current State value-added reseller contract?

8.3a Describe how the software was selected below:

8.4 Does the project involve technology that is new and/or unfamiliar to your agency, e.g., software tool never used before, virtualized server environment?

8.5 Does your agency have experience with the vendor (if known)?

8.6 Does the vendor (if known) have professional experience with similar projects?

8.7 Does the project involve any coordination across multiple vendors?

8.8 Does this project require multiple system interfaces, e.g., APIs, data exchange with other external application systems/agencies or other internal systems/divisions?

8.9 Have any compatibility issues been identified between the proposed solution and the existing environment, e.g., upgrade to server needed before new COTS solution can be installed?

8.9a Describe below the issues that were identified and how they have been/will be resolved, or whether an ADOA-ASET representative should contact you.

8.10 Will a migration/conversion step be required, i.e., data extract, transformation and load?

8.11 Is this replacing an existing solution?

8.11a Indicate below when the solution being replaced was originally acquired.

8.11b Describe the planned disposition of the existing technology below, e.g., surplus, retired, used as backup, used for another purpose:

8.12 Describe how the agency determined the quantities reflected in the PIJ, e.g., number of hours of P&OS, disk capacity required, number of licenses, etc. for the proposed solution?

8.13 Does the proposed solution and associated costs reflect any assumptions regarding projected growth, e.g., more users over time, increases in the amount of data to be stored over 5 years?

8.14 Does the proposed solution and associated costs include failover and disaster recovery contingencies?

8.14a Please select why failover and disaster recovery is not included in the proposed solution.

8.15 Will the vendor need to configure the proposed solution for use by your agency?

8.15a Are the costs associated with that configuration included in the PIJ financials?

8.16 Will any app dev or customization of the proposed solution be required for the agency to use the project in the current/planned tech environment, e.g. a COTS app that will req custom programming, an agency app that will be entirely custom developed?

8.16a Will the customizations inhibit the ability to implement regular product updates, or to move to future versions?

8.16b Describe who will be customizing the solution below:

8.16c Do the resources that will be customizing the application have experience with the technology platform being used, e.g., .NET, Java, Drupal?

8.16d Please select the application development methodology that will be used:

8.16e Provide an estimate of the amount of customized development required, e.g., 25% for a COTS application, 100% for pure custom development, and describe how that estimate was determined below:

8.16f Are any/all Professional & Outside Services costs associated with the customized development included in the PIJ financials?

8.17 Have you determined that this project is in compliance with all applicable statutes, regulations, policies, standards & procedures, incl. those for network, security, platform, software/application &/or data/info found at aset.az.gov/resources/psp?

8.17a Describe below the compliance issues that were identified and how they have been/will be resolved, or whether an ADOA-ASET representative should contact you:

8.18 Are there other high risk project issues that have not been identified as part of this PIJ?

8.18a Please explain all unidentified high risk project issues below:

9. SECURITY

9.1 Will the proposed solution be vendor-hosted?

9.1a Please select from the following vendor-hosted options:

9.1b Describe the rationale for selecting the vendor-hosted option below:

9.1c Has the agency been able to confirm the long-term viability of the vendor hosted environment?

9.1d Has the agency addressed contract termination contingencies, e.g., solution ownership, data ownership, application portability, migration plans upon contract/support termination?

9.1e Has a Conceptual Design/Network Diagram been provided and reviewed by ASET-SPR?

9.1f Has the spreadsheet located at <https://aset.az.gov/arizona-baseline-security-controls-excel> already been completed by the vendor and approved by ASET-SPR?

9.2 Will the proposed solution be hosted on-premise in a state agency?

9.2a Where will the on-premise solution be located:

9.2b Were vendor-hosted options available and reviewed?

9.2c Describe the rationale for selecting an on-premise option below:

9.2d Will any data be transmitted into or out of the agency's on-premise environment or the State Data Center?

9.3 Will any PII, PHI, CGIS, or other Protected Information as defined in the 8110 Statewide Data Classification Policy be transmitted, stored, or processed with this project?

9.3a Describe below what security infrastructure/controls are/will be put in place to safeguard this data:

10. AREAS OF IMPACT

Application Systems

Database Systems

Software

Hardware

Hosted Solution (Cloud Implementation)

Security

Telecommunications

Enterprise Solutions

11. FINANCIALS

Description	PIJ Category	Cost Type	Fiscal Year Spend	Quantity	Unit Cost	Extended Cost	Tax Rate	Tax	Total Cost
-------------	--------------	-----------	-------------------	----------	-----------	---------------	----------	-----	------------

Base Budget (Available)	Base Budget (To Be Req)	Base Budget % of Project
		0%
APF (Available)	APF (To Be Req)	APF % of Project
		0%
Other Appropriated (Available)	Other Appropriated (To Be Req)	Other Appropriated % of Project
		0%
Federal (Available)	Federal (To Be Req)	Federal % of Project
		0%
Other Non-Appropriated (Available)	Other Non-Appropriated (To Be Req)	Other Non-Appropriated % of Project
		0%

Total Budget Available	Total Development Cost
\$0	\$0
Total Budget To Be Req	Total Operational Cost
\$0	\$0
Total Budget	Total Cost
\$0	\$0

12. PROJECT SUCCESS

Please specify what performance indicator(s) will be referenced in determining the success of the proposed project (e.g. increased productivity, improved customer service, etc.)? (A minimum of one performance indicator must be specified)

Please provide the performance objective as a quantifiable metric for each performance indicator specified.

Note: The performance objective should provide the current performance level, the performance goal, and the time period within which that performance goal is intended to be achieved. You should have an auditable means to measure and take corrective action to address any deviations.

Example: Within 6 months of project completion, the agency would hope to increase "Neighborhood Beautification" program registration by 20% (3,986 registrants) from the current registration count of 19,930 active participants.

Performance Indicators

13. CONDITIONS

Conditions for Approval

1. Condition: Should the final costs exceed the estimated costs by 10% or more, or should there be significant changes to the proposed technology, scope of work or implementation schedule, the Agency must amend the PIJ to reflect the changes and submit it to ADOA-ASET and ITAC for review and approval prior to further expenditure of funds.

14. ENGAGEMENT MANAGER COMMENTS

Project Background

Business Justification

Implementation Plan

Vendor Selection

Budget or Funding Considerations

15. PIJ REVIEW CHECKLIST

Agency Project Sponsor

Agency CIO (or Designee)

Agency ISO (or designee)

OSPB Representative

ASET Engagement Manager

ASET SPR Representative

Agency SPO Representative

Agency CFO
